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IN THE UNITED STATES PATENT AND TRADEMARK

Box New Patent Application
Commissioner for Patents
Washington, D.C. 20231

Attorney's Docket No.: 16279-14C1

FILING TRANSMITTAL - CONTINUATION

Transmitted herewith for filing is a Continuation Patent Application of : Carter et al.

For: "DEVICE AND METHOD FOR TRENCHLESS REPLACEMENT OF UNDERGROUND PIPE"

ENCLOSURES

- ☒ 39 page application including specification, claims and abstract;
 - ☒ 18 sheets (Figs. 1-33) of ☒ informal/☐ formal drawings;
 - ☒ A Declaration, Power of Attorney & Petition (signed copy of parent application declaration);
 - ☒ A postcard for return to us as proof of receipt of the referenced documents.
- and
- ☒ IDS (form PTO-1449) without copies of references;
 - ☐ An Associate Power of Attorney;
 - ☐ A certified copy of the priority document (Under 35 USC 119);
 - ☐ A Power of Attorney by Assignee;
 - ☒ Preliminary Amendment.

TYPE OF FILING

- ☒ This application claims the benefit of an earlier filed pending U.S. Patent Application (35 USC 120), Serial Number 09/350,948, filed July 9, 1999, which claims the benefit of the priority date of International Patent Application Serial No. PCT/US98/00266, filed January 9, 1998, which claims the benefit of the priority date of an earlier filed provisional patent application (35 USC 119), 60/035,174, filed January 9, 1997.
- ☐ This is an application filed pursuant to 37 CFR 1.53, permitting receipt of a filing date upon filing of specification, claims and drawings, if required, with applicant being given a period of one month from the date of notice to file the fee and oath or declaration.
- ☒ In the event any parts of this application are missing, please treat this as a filing under 37 CFR 1.53 as defined just above.

CERTIFICATE OF MAILING (37 CFR 1.10(A))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EL745056984US addressed to the Commissioner for Patents, Washington, D.C. 20231 on August 24, 2001 by Patricia Beilmann.

Date: August 24, 2001

Patricia Beilmann

FEE CALCULATION

Delete renumbered claims 3-5, 8-13, 18-25, 27-29, 31, 32 and 35 without prejudice prior to calculating the filing fee.

Add the new claims 48-72 in the Preliminary Amendment filed herewith, prior to calculating the filing fee.

The filing fee for remaining claims 1, 2, 6, 7, 14-17, 26, 30, 33, 34, 36-47 and 48-72 has been calculated as shown below:

	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
BASIC FEE Design Patent	\$160		\$	\$320	\$
BASIC FEE Utility Patent	\$355		\$355	\$710	\$
EXTRA FEES	RATE		FEE	RATE	FEE
Total claims	49	minus 20 = 29	x9 = \$261	x18 =	\$
Independent Claims	10	minus 3 = 7	x40 = \$280	x80 =	\$
<input type="checkbox"/> Multiple Dep. Claim			+130 = \$	+260 =	\$
<input type="checkbox"/> Assignment			+40 = \$	+40 =	\$
<input type="checkbox"/> Rule 53 Surcharge			+65 = \$	+130 =	\$
TOTAL			\$896		\$

FEE PAYMENT

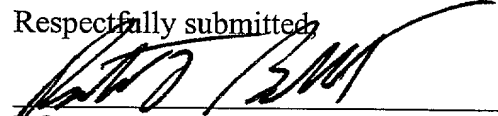
- ☐ Attached is Check No. _____ in the sum of \$ _____.00 to cover the filing fee.
☒ Please charge Account No. 02-3964 the sum of \$896.00

FEE DEFICIENCY

- ☐ Please charge Account No. 02-3964 in the sum of \$ _____
☒ The Commissioner is authorized to charge (or credit any overpayment) to deposit account No. 02-3964:
 ☒ Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.
 ☒ Assignment Recordal fees.
☐ The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. 02-3964.
☒ A duplicate copy of this sheet is enclosed.

Dated: August 24, 2001

Respectfully submitted,


ROBERT O. GUILLOT

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